

TradeStation Crypto, Inc. is currently accepting account applications from the following U.S. states.

State	License and Regulatory Disclosure, if any
Alabama	<a href="#">SC Money Transmitter License, License Number 801</a>
Alaska	<p><a href="#">Money Transmitter License, License Number AK-MT-012345</a></p> <p>Please note that this license does not cover the transmission of virtual currency.</p> <p>For Alaska Residents Only: If your issue is unresolved by TradeStation Crypto, Inc. (by contacting us at <a href="mailto:ClientService@TradeStation.com">ClientService@TradeStation.com</a> or (800) 822-0512), please submit formal complaints with the State of Alaska, Division of Banking &amp; Securities. Formal complaints must be in writing, please download the form <a href="#">here</a>.</p> <p>Formal complaint forms may be submitted via:</p> <ol style="list-style-type: none"> <li>1. Fax: (907) 465-1230</li> <li>2. Email: <a href="mailto:msb_licensing@alaska.gov">msb_licensing@alaska.gov</a></li> <li>3. Mail: Division of Banking &amp; Securities, P.O. Box 110807, Juneau, AK 99811-0807</li> </ol> <p>If you have questions regarding formal complaints, please call the Division of Banking &amp; Securities at (907) 465-2521.</p>
Arizona	Not applicable
Arkansas	Money Services – Money Transmitter, License Number 117195
California	Not applicable
Colorado	Not applicable
Connecticut	Money Transmission License, License Number MT-1843762
Delaware	Sale of Checks and Transmission of Money, License Number 027903
District of Columbia	Money Transmitter License, License Number MTR1843762

State	License and Regulatory Disclosure, if any
Florida	<p>Money Transmitter Part II, License Number FT230000256</p> <p><b>NOTICE: By the Florida Office of Financial Regulation</b></p> <p>BY GRANTING TRADESTATION CRYPTO, INC. A LICENSE, THE FLORIDA OFFICE OF FINANCIAL REGULATION IS NOT ENDORSING THE USE OF DIGITAL OR VIRTUAL CURRENCIES.</p> <p>* U.S. currency is legal tender backed by the U.S. government.          * Digital and virtual currencies are not issued or backed by the U.S. government, or related in any way to U.S. currency, and have fewer regulatory protections.          * The value of digital and virtual currencies is derived from supply and demand in the global marketplace which can rise or fall independently of any fiat (government) currency.          * Holding digital and virtual currencies carries exchange rate and other types of risk.</p> <p>POTENTIAL USERS OF DIGITAL OR VIRTUAL CURRENCIES, INCLUDING BUT NOT LIMITED TO BITCOIN, SHOULD BE FOREWARNED OF A POSSIBLE FINANCIAL LOSS AT THE TIME THAT SUCH CURRENCIES ARE EXCHANGED FOR FIAT CURRENCY DUE TO AN UNFAVORABLE EXCHANGE RATE. A FAVORABLE EXCHANGE RATE AT THE TIME OF EXCHANGE CAN RESULT IN A TAX LIABILITY. PLEASE CONSULT YOUR TAX ADVISOR REGARDING ANY TAX CONSEQUENCES ASSOCIATED WITH YOUR HOLDING OR USE OF DIGITAL OR VIRTUAL CURRENCIES.</p> <p>If you have a question or complaint, please contact TradeStation Client Services at <a href="mailto:ClientService@TradeStation.com">ClientService@TradeStation.com</a> or (800) 822-0512.</p> <p>Florida residents may contact the Florida Office of Financial Regulation with any unresolved questions or complaints about TradeStation Crypto, Inc. at 200 E. Gaines Street, Tallahassee, FL 32399-0376, telephone number: (800) 848-3792 (toll free).</p>
Idaho	<p>Money Transmitter License, License Number MTL-235</p>

State	License and Regulatory Disclosure, if any
Illinois	Money Transmitter License, License Number MT.0000362  Illinois residents may contact the Illinois Department of Financial and Professional Regulation, Division of Financial Institutions, with any unresolved questions or complaints about TradeStation Crypto, Inc. at (888) 473-4858 (toll-free).
Indiana	Not applicable
Iowa	<a href="#">Money Services License, License Number 2019-0056</a>
Kansas	Money Transmitter License, License Number MT.0000166
Kentucky	Not applicable
Maine	Money Transmitter License, License Number NMT1803593
Maryland	Money Transmitter License, License Number 1843762
Massachusetts	Not applicable
Michigan	<a href="#">Money Transmitter License, License Number MT0022200</a>
Missouri	Not applicable
Montana	Not applicable
Nebraska	Money Transmitter License
New Hampshire	<a href="#">Money Transmitter License, License Number 23135-MT</a>
New Mexico	Money Transmission License, License Number 1843762
North Carolina	Money Transmitter License, License Number 184933
North Dakota	Money Transmitter License, License Number MT103571

State	License and Regulatory Disclosure, if any
Oklahoma	<a href="#">DOB Money Transmission License, License Number OKDOB001</a>
Oregon	<a href="#">Money Transmitter License, License Number MTX-30242</a>
Pennsylvania	Not applicable
Rhode Island	Currency Transmitter License, License Number 20193870MT
South Carolina	Money Transmitter License, License Number 1843762
South Dakota	Money Transmitter License, License Number MT.2182
Texas	<p><a href="#">Money Transmission License, License Number 3202</a></p> <p>If you have a complaint, first contact TradeStation Client Services at <a href="mailto:ClientService@TradeStation.com">ClientService@TradeStation.com</a> or (800) 822-0512. If you still have an unresolved complaint regarding TradeStation Crypto's money transmission activity, please direct your complaint to: Texas Department of Banking, 2601 North Lamar Boulevard, Austin, Texas 78705, 1-877-276-5554 (toll free), <a href="http://www.dob.texas.gov">www.dob.texas.gov</a>.</p>
Utah	Not applicable
Vermont	Money Transmitter License, License Number 100-157
Washington	<p>Money Transmitter (includes Currency Exchange) License, License Number 550-MT-118785</p> <p>If you have a complaint, first contact TradeStation Client Services at <a href="mailto:ClientService@TradeStation.com">ClientService@TradeStation.com</a> or (800) 822-0512, and if you still have an unresolved complaint regarding the TradeStation Crypto's money transmission activity, please contact the Washington State Department of Financial Institutions, Division of Consumer Services using one of the following methods:</p> <p>File a complaint online, mail or fax: <a href="https://dfi.wa.gov/consumers/loan-complaints">https://dfi.wa.gov/consumers/loan-complaints</a></p>

State	License and Regulatory Disclosure, if any
	Call us: 1-877-RING DFI (1-877-746-4334) Email us: <a href="mailto:CSEnforceComplaints@dfi.wa.gov">CSEnforceComplaints@dfi.wa.gov</a>
Wisconsin	<a href="#">Seller of Checks License, License Number 202-SOC</a>
Wyoming	Not applicable



JOSEPH P. BORG  
Director

AMANDA SENN  
Chief Deputy Director

EDWIN L. REED  
Deputy Director  
Administration

STEPHEN P. FEAGA  
Deputy Director  
Enforcement/Litigation

## ALABAMA SECURITIES COMMISSION

445 DEXTER AVENUE, SUITE 12000  
MONTGOMERY, ALABAMA 36104

MAIL: POST OFFICE BOX 304700  
MONTGOMERY, AL 36130-4700

TELEPHONE (334) 242-2984  
1-800-222-1253

FAX (334) 242-0240

E-MAIL [asc@asc.alabama.gov](mailto:asc@asc.alabama.gov)

### COMMISSIONERS

CHAIR  
MARLENE M. MCCAIN  
Certified Public Accountant

STEVEN T. MARSHALL  
Attorney General

MIKE HILL  
Superintendent of Banks

JIM L. RIDLING  
Commissioner of Insurance

S. DAGNAL ROWE  
Attorney at Law

W. ALLEN CARROLL, JR.  
Certified Public Accountant

## MONEY TRANSMISSION LICENSE

### LICENSE NUMBER 801

**THIS IS TO CERTIFY THAT TradeStation Crypto, Inc., 8050 SW 10th St Suite 4000, Plantation, FL 33324**, having complied with the requirements of the Alabama Money Transmission Act, Code of Alabama 1975, §8-7A-1 et seq., is hereby licensed to engage in the business of selling or issuing payment instruments, stored value instruments, or receiving money or monetary value for transmission in accordance with and subject to the provisions of said law from the date hereof until **March 31, 2021**, unless this license be otherwise suspended or revoked.

**IN WITNESS WHEREOF**, I have hereunto set my hand and affixed the official seal of the Alabama Securities Commission, in the City of Montgomery, on **March 26, 2020**.



  
\_\_\_\_\_  
Joseph P. Borg, Director  
Alabama Securities Commission

**This license is unassignable and must be displayed in the Public**

***NOT TRANSFERABLE***

Division of Banking and Securities  
PO Box 110807  
Juneau, AK 99811-0807

State of Alaska  
Main: 907.465.2521  
Fax: 907.465.1230

**Money Services Businesses - Money Transmitter**

February 7, 2020

TradeStation Crypto, Inc.

Alaska License Number: 012345  
NMLS: 1843762

8050 SW 10th St  
Suite 4000  
Plantation, FL 33324

Effective Date: February 7, 2020

Expiration Date: December 31, 2020

***DISPLAY PROMINENTLY***  
*If required by law*



# State of Iowa

## Division of Banking

### Money Services License

License Number MS – 2019-0056

This is to Certify That

**TradeStation Crypto, Inc.,**  
NMLS Company Identification 1843762

has complied with the application requirements of Chapter 533C of the Code of Iowa. The licensee, and all authorized delegates thereof are hereby licensed to engage in the business of money services, whether in the form of money transmission or currency exchange from and after the 18<sup>th</sup> day of July, 2019.

This authority is granted upon the express condition that said licensee observe and obey all laws which currently now are or may be in force relating to said business and all rules and regulations made by the Superintendent of Banking.

Subject to annual renewal, this license shall be in full force and effect unless surrendered by the licensee or revoked or suspended in accordance with the provisions of Chapter 533C or any related laws. This license may not be assigned or transferred.



IN WITNESS WHEREOF, I have hereunto set my hand  
and Seal of Office this 18<sup>th</sup> day of July, 2019.

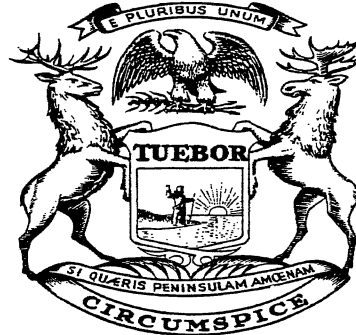
Ronald L. Hansen, Superintendent of Banking

Rodney E. Reed, Finance Bureau Chief



# State of Michigan

This certificate is issued to give effect to the name change.



License No. MT 0022200

## Department of Insurance and Financial Services Lansing, Michigan

*This is to certify that, effective August 12, 2020*

*TRADESTATION CRYPTO, INC.*

*dba TS CRYPTO*

*8050 Southwest 10th Street Suite 4000*

*Plantation, FL 33324*

is hereby duly LICENSED in the State of Michigan in accordance with the provisions of Act No. 250, Public Acts of 2006, the Money Transmission Services Act. This License is not transferable or assignable.



A handwritten signature in black ink, appearing to read 'Anita Fox'.

Anita G. Fox, Director

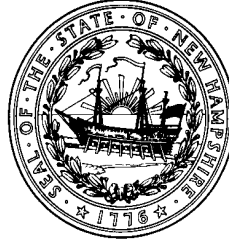
August 14, 2020

Date

This certificate shall be conspicuously displayed in the place of business specified herein.

# STATE OF NEW HAMPSHIRE

##### January 01, 2020



License Number  
**23135-MT**

*New Hampshire Banking Department*  
*53 Regional Drive, Suite 200*  
*Concord, New Hampshire 03301*  
**(603) 271-3561**

*This is to certify that a license to engage in business as a*  
**MONEY TRANSMITTER**

is hereby issued to: TRADESTATION CRYPTO, INC.  
at: 8050 SW 10TH ST  
STE 4000  
PLANTATION, FL 33324  
Type: PRINCIPAL OFFICE  
TRADE NAME OR D/B/A: TS Crypto

in accordance with and subject to the provisions of New Hampshire Revised Statutes Annotated Chapter 399-G  
as amended and any regulations promulgated thereunder.

**License Expires: December 31, 2020**

A handwritten signature in black ink, appearing to read "Gerald H. Little".

Gerald H. Little  
Bank Commissioner



# State of Oklahoma



## CERTIFICATE OF AUTHORITY Office of State Bank Commissioner

Effective Date: August 31, 2019

**It is Hereby Certified:** That TradeStation Crypto, Inc., whose office is in Plantation, Florida, is hereby authorized to engage in the business of money transmission in the State of Oklahoma, subject to the provisions and requirements of the Oklahoma Financial Transaction Reporting Act, 6 O.S. § 1511 et seq., and regulations of the Oklahoma State Banking Board promulgated there under.



In Testimony Whereof, I, Mick Thompson, Bank Commissioner of the State of Oklahoma, have hereunto set my hand and affixed my seal of office at the City of Oklahoma City, the day and year first above written.

  
State Bank Commissioner





State of Oregon  
Department of Consumer and Business Services  
Division of Financial Regulation  
PO Box 14480, 350 Winter St. NE, Suite 410, Salem, Oregon 97309-0405  
Phone: (503) 378-4140



**MONEY TRANSMITTERS LICENSE  
UNDER THE OREGON MONEY TRANSMITTERS ACT  
License # MTX-30242**

Pursuant to the provisions of Oregon Revised Statute 717, the following is licensed to engage in the business of selling, issuing or delivering checks, drafts, money orders, or other instruments for the transmission or payment of money in the state of Oregon:

**TRADESTATION CRYPTO INC  
8050 SW 10TH STE 4000  
FORT LAUDERDALE FL 33324**

This license shall remain in full force and effect from and after September 16, 2019, upon payment of the annual license fee, unless it is suspended, revoked or surrendered.

**NOTICE**

This business is subject to the regulations and oversight of the Division of Financial Regulation of the Department of Consumer and Business Services.  
Consumers' inquiries or complaints may be made by calling (866) 814-9710.



Andrew Stolfi, Administrator  
Division of Financial Regulation  
Signed: September 16, 2019



# STATE OF TEXAS



## DEPARTMENT OF BANKING

**LICENSE NUMBER 3202**

I, Charles G. Cooper, Banking Commissioner of Texas, acting under the provisions of the Texas Finance Code, Chapter 151, hereby grant to:

TradeStation Crypto, Inc.  
dba TS Crypto  
8050 Southwest 10<sup>th</sup> Street, Suite 4000  
Plantation, Florida

Authority to engage in the business of money transmission.

This license is not a property or business right.

In testimony whereof I have hereunto subscribed my name  
on this 26<sup>th</sup> day of August A. D. 2020.

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Banking Commissioner of Texas



# State of Wisconsin

## DEPARTMENT OF FINANCIAL INSTITUTIONS

This License Must Be Conspicuously Posted In the Public Office

**TradeStation Crypto Inc**

having complied with the requirements set forth under Chapter 217 of the Wisconsin Statutes, is hereby licensed to engage in business as a

**Seller of Checks**

and may authorize its agents to sell its checks and receive money for transmission in accordance with and subject to the provisions of said Chapter 217. The records relating to Wisconsin operations are maintained at:

8050 SW 10th St Suite 4000  
Plantation, FL

This license cannot be assigned or transferred and continues in effect until terminated as provided in Chapter 217.

License No. 202

Effective Date: November 5, 2019



A handwritten signature in black ink, reading "Heather A. MacKinnon".