



Account Application Package

- Corporation
- Limited Liability Company
- Partnership (Limited or General)
- Trust
- Sole Proprietorship

ALL completed and signed pages must be returned.

This application package covers applications for equities (including equity options) and futures (including options on futures) accounts, with TradeStation Securities, Inc., and cryptocurrency accounts with TradeStation Crypto, Inc.

1. INTRODUCTION

TradeStation Securities, Inc. (“we”, “our” or “us”) is registered with the U.S. Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences.

Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers and investing.

2. WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

We provide brokerage services to retail and institutional traders and investors, including the buying and selling of equities, ETFs, options, mutual funds and bonds (“Securities”). We don’t offer any of our own Securities.

We also offer the *TradeStation* Desktop Platform (the “Platform”), a proprietary electronic trading platform that allows you to design, test and monitor your own custom trading and investment strategies, and then automate them with electronic order placement. You may also enter and monitor orders and perform some analytics via our mobile and web-based applications. We offer certain free real-time market data packages provided you qualify as a non-professional subscriber. Other market data packages and platform features are made available to non-professional and professional subscribers at extra cost, as described at: <https://www.tradestation.com/pricing/market-data-pricing>.

We do not make recommendations regarding Securities, investment strategies or account types. We do not provide you with investment monitoring services, nor do we accept authorization from you to trade your account. You will make all of the decisions regarding the purchase or sale of Securities in your account.

We require a minimum initial funding level of \$500 to open a cash account and \$2,000 to open and maintain a margin account.

For additional information about the services we provide, please visit our homepage: <https://www.tradestation.com>.

ASK YOUR FINANCIAL PROFESSIONAL...

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

3. A. WHAT FEES WILL I PAY?

We offer a variety of pricing plans, including commission-free equities and options plans if you choose to place orders through the mobile app or website. Access to, and use of, the Platform features and functions are provided to you at no additional cost on certain pricing plans. Information about all available plans, including other trade and account-related costs, can be viewed at: <https://www.tradestation.com/pricing>.

Depending on the pricing plan chosen, you may be charged more fees when you trade more, so we may have an incentive to encourage you to trade more frequently.

Margin and day trading allow you to leverage assets to increase your buying power. Margin interest rates vary per the base rate and the size of your debit balance. The base rate may be adjusted at our discretion. For additional information on margin rates, please visit our Margin Rates page: <https://www.tradestation.com/pricing/margin-rates>.

You may incur additional fees and costs related to brokerage services and investments including, but not limited to, wire fees, account transfer fees, IRA account annual fees, inactivity fees and termination fees. For a full list of additional fees and costs that you may incur, please visit our Service Fees page: <https://www.tradestation.com/pricing/service-fees>. If you custody or plan to purchase mutual funds with us, you should review the mutual fund prospectus for applicable fees, such as management fees and 12b-1 fees.

You will pay certain fees and costs regardless of whether you make or lose money in your account. Fees and costs will reduce any amount of money you make on your investments over time. We encourage you to learn about all of the fees and costs associated with your account and trading activity.

ASK YOUR FINANCIAL PROFESSIONAL...

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

3. B. WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

We do not make recommendations regarding Securities, investment strategies or accounts types. The way we make money may create a potential conflict with your interests. You should learn about and ask us about these conflicts, because they may affect the services we provide. Here are some examples to help explain some potential conflicts.

- a. We route certain equities and options orders to exchanges, electronic communication networks, and broker-dealers during normal business hours and during extended trading sessions. Some of these market centers may provide us with payment in exchange for us sending them your orders, and might also charge you access fees, depending upon the characteristics of the order and any subsequent execution. If you trade more frequently, the payments we receive from these venues may increase. The material aspects of our relationship with each such venue, including any payment for order flow arrangements, can be found in the SEC Rule 606 Report & Rule 607 Disclosure at: <https://www.tradestation.com/important-information>, and further details of these payments and fees are available to you upon written request.
- b. In addition, if you trade using margin, that means you are borrowing money from us to conduct your equities trading. The margin interest we charge you to borrow this money is revenue to us. The more you trade using margin, the more money we may earn. Please learn about the risks of margin trading at: <https://www.tradestation.com/important-information>.
- c. Some of our registered representatives may be dually employed by us and our affiliate, TradeStation Crypto, Inc. We intend to manage this potential conflict so that it does not affect your dealings with either company.

For additional information about potential conflicts of interest, please visit our Important Documents page: <https://www.tradestation.com/important-information>.

ASK YOUR FINANCIAL PROFESSIONAL...

- How might your conflicts of interest affect me, and how will you address them?

3. C. HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Some of our registered representatives may be paid a base salary and a monthly bonus based on certain metrics, such as the number of new customer accounts they open and the commissions generated by those accounts. Our registered representatives may also receive periodic bonuses based on a combination of job performance and our overall profitability as a company. As a result, our registered representatives have an incentive to encourage you to try the analytical tools available on the Platform, which may result in you choosing to trade more frequently and consider alternative asset classes, such as futures, since we are also a U.S. Commodity Futures Trading Commission registered futures commission merchant.

4. DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

Yes. You may visit: <https://www.investor.gov/CRS> or <https://brokercheck.finra.org> for free and simple tools to research us and our registered representatives.

ASK YOUR FINANCIAL PROFESSIONAL...

- As a financial professional, do you have any disciplinary history? For what type of conduct?

5. ADDITIONAL INFORMATION

You can obtain additional information about us and request a copy of this relationship summary by visiting: <https://www.tradestation.com/important-information> or by calling one of our specialists at 800.808.9336.

ASK YOUR FINANCIAL PROFESSIONAL...

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?

TradeStation Securities, Inc. is an SEC-licensed broker dealer and a CFTC-licensed futures commission merchant (FCM), and a member of FINRA, SIPC, CME, NFA and several equities and futures exchanges, which offers to self-directed investors and traders Equities accounts for stocks, exchange-traded products (such as ETFs) and equity and index options, and Futures accounts for commodity and financial futures and futures options (TradeStation Securities **does not** offer Crypto accounts).

TradeStation Crypto, Inc. is neither a securities broker dealer nor an FCM, and offers to self-directed investors and traders cryptocurrency brokerage services under federal and state money services business/money-transmitter and similar registrations and licenses (TradeStation Crypto **is not** a member of FINRA, SIPC, CME, NFA or any equities or futures exchange, and **does not** offer Equities or Futures accounts).

TradeStation Technologies, Inc. is a software development company which offers analytics subscriptions that self-directed investors and traders can use to chart, analyze and design back-tested strategies for Equities, Options, Futures, Forex and Crypto markets (TradeStation Technologies **is not** a financial services company).

You Can Trade, Inc. is an online educational, news and entertainment media publication service that seeks to provide to the public a marketplace of potentially actionable investment and trading content, ideas, demonstrations and informational tools. You Can Trade **is not** an investment, trading or financial adviser or pool, broker-dealer, futures commission merchant, investment research company, digital asset or cryptocurrency exchange or broker, or any other kind of financial or money services company, and **does not** give any investment, trading or financial advice, or research analyses or recommendations, or make any judgments, hold any opinions, or make any other recommendations, about whether you should purchase, sell, own or hold any security, futures contract or other derivative, or digital asset or digital asset derivative, or any class, category or sector of any of the foregoing, or whether you should make any allocation of your invested capital between or among any of the foregoing.

TradeStation Crypto accepts **only** cryptocurrency deposits, and **no** cash (fiat currency) deposits, for account funding. In order for you to purchase cryptocurrencies using cash, or sell your cryptocurrencies for cash, in a TradeStation Crypto account, you must also have qualified for, and opened, a TradeStation Equities account with TradeStation Securities so that your cryptocurrency purchases may be paid for with cash withdrawals from, and your cryptocurrency cash sale proceeds may be deposited in, your TradeStation Securities Equities account. Therefore, if you want to open a TradeStation Crypto account, you must also have an Equities account with TradeStation Securities. This cash in your TradeStation Securities Equities account may also, of course, be used for your equities and options trading with TradeStation Securities.

TradeStation and *YouCanTrade* account services, subscriptions and products are designed for speculative or active investors and traders, or those who are interested in becoming one. No offer or solicitation to buy or sell securities, securities derivative or futures products of any kind, cryptocurrencies or other digital assets, or any type of trading or investment advice, recommendation or strategy, is made, given or in any manner endorsed by any TradeStation Group company, and the information made available on or in any TradeStation Group company website or other publication or communication is not an offer or solicitation of any kind in any jurisdiction where such TradeStation Group company or affiliate is not authorized to do business. Past performance, whether actual or indicated by historical tests of strategies, is no guarantee of future performance or success. There is a possibility that you may sustain a loss equal to or greater than your entire investment regardless of which asset class you trade (equities, options, futures, futures options, or crypto); therefore, you should not invest or risk money that you cannot afford to lose. System access and trade placement and execution may be delayed or fail due to market volatility and volume, quote delays, system, platform and software errors or attacks, internet traffic, outages and other factors. The trademarks "TradeStation®," "YouCanTrade" and "SheCanTrade," as

well as other trademarks, domain names and other proprietary intellectual property of TradeStation Group companies, are owned by TradeStation Technologies. The proprietary TradeStation platform is offered by TradeStation Securities for Equities (including equity options) and Futures trading. TradeStation Crypto offers its online platform trading services, and TradeStation Securities offers futures options online platform trading services, through unaffiliated third-party platform applications and systems licensed to TradeStation Crypto and TradeStation Securities, respectively, which are permitted to be offered by those TradeStation companies for use by their customers.

Please also read carefully the agreements, disclosures, disclaimers and assumptions of risk presented to you separately by TradeStation Securities, TradeStation Crypto, TradeStation Technologies, and You Can Trade on the TradeStation Group company site and the separate sites, portals and account or subscription application or sign-up processes of each of these TradeStation Group companies. They contain important information, rights and obligations, as well as important disclaimers and limitations of liability, and assumptions of risk, by you that will apply when you do business with these companies.



Welcome.

How to Open Your TradeStation Account

Please complete this application in its entirety by typing your answers and information in the appropriate fields.

Please list your name exactly as it appears on your government issued photo identification. You can save your progress at any point. Once completed, simply print and sign the application before sending it to us. You will need the latest version of the free Adobe Acrobat reader (<https://get.adobe.com/reader/>) to use the interactive features on this form. Alternatively, you can print then complete the entire form using blue or black ink. Please do not use white-out.

To ensure that your account application is complete and may be processed as soon as reasonably possible, ***please make sure you've completed all applicable documents and that you return this entire package, including the pages on which you are not required to sign or provide information.***

Visit our website to view the funding instructions.

Please return your completed application and additional documentation to TradeStation via email or regular mail:

- 1) **Email: newaccounts@tradestation.com**
- 2) **Post to the following address:**

TradeStation New Accounts
8050 SW 10th Street, Suite 2000
Plantation, FL 33324, USA

REQUIRED FORMS

- ☐ **Account Application and Agreements, Disclosures, Disclaimers and Assumption of Risks**
For all accounts.
- ☐ **Certificate of Corporate Secretary**
If you are opening a Corporate Account or a Partnership or LLC Account where the general partner or managing member is a corporation.
- ☐ **Certificate of Managing Member, Manager or Officer of LLC**
If you are opening a Limited Liability Company Account or a Partnership Account where the general partner is an LLC.
- ☐ **Certificate of Trustees**
If you are opening a Trust Account.
- ☐ **Certificate of General Partner**
If you are opening a Partnership Account.
- ☐ **Sole Proprietorship Certification**
If you would like to open your account in the name of a Sole Proprietorship.
- ☐ **Appropriate W-8 Forms (if non-U.S.)**
W-8BEN-E (Entities). Additional forms may be required.

IDENTITY VERIFICATION FOR NON-U.S. RESIDENTS

Please include when you return application package.

- ☐ **Copy of Passport**
E.U. residents may provide a copy of a government issued ID in lieu of a passport.
- ☐ **Address Verification Documents**
A document such as a recent utility bill or bank statement. Must be within 90 days of submission date.

Please provide and include the following documentation, as applicable to the applicant, when you return this application package. If the applicant is owned or controlled by another entity, you must also provide the same documentation for that entity.

All copies must include signatures where signatures are indicated (drafts or unsigned copies cannot be accepted).

Applicants that are organizations domiciled outside of the U.S. must provide all equivalent documentation which applies in the applicant's country of domicile.

Corporation	<ul style="list-style-type: none"> • Certified Articles or Certificate of Incorporation • Corporate resolutions with current officers and directors • Corporate Bylaws • Shareholder Register
Partnership	<ul style="list-style-type: none"> • Certified Certificate of Partnership • Partnership Agreement
Limited Liability Company	<ul style="list-style-type: none"> • Certified Articles of Organization • Operating Agreement
Trust	<ul style="list-style-type: none"> • 1st and last page of Trust agreement and any amendments. Must include all grantors, trustees and signature pages. • Certification of Trust
Sole Proprietorship	<ul style="list-style-type: none"> • State or county registration for sole proprietorship.

All Investment Funds, Hedge Funds and Mutual Funds will need to provide copies of the fund's offering documentation, such as subscription agreement, private placement memorandum and/or prospectus, in addition to the documentation requested above.

Please note that additional documentation may be requested.

REQUIRED

Please complete all information carefully. You are representing to TradeStation that all information you provide is true, complete and accurate. Information provided under "Trading Experience" means of the Primary Authorized Representative.

To expedite your account opening process, if you have been working with a Representative, please enter that person's name below:

Representative's Name:..... **Promotional Code:**.....

Please select the account type you would like to open within the next 90 days:

<input type="checkbox"/> Equities	Includes equity options, if selected below and approved for options trading.
<input type="checkbox"/> Futures	Includes options on futures, if selected below.
<input type="checkbox"/> Crypto	Must also have an Equities account. By checking, you are also applying for a new Equities account.

Please select your commission schedule for Equities and Futures accounts.*

Please review the details of each option found at tradestation.com/pricing before making a selection.

☐ **TS SELECT** ☐ **TS GO**

If no choice is made, the account commission schedule will be set up with TS SELECT.

** The choice you make will apply to both your Equities and Futures accounts. Market data and other fees will or may apply.*

For Futures accounts only

What type of futures trading will you be doing with this account?

If you do not make a selection below, your futures account will be coded for Futures Only, which means that you do not intend to trade options on futures but intend to trade only futures using the TradeStation Platform.

☐ **Futures Only**

(Trading through the TradeStation platform.)

☐ **Futures and Options on Futures**

(Trading through the TradeStation FuturesPlus platform.)

For Equities accounts only

Would you like the ability to trade options with this account?

☐ **Yes**

☐ **No**

If yes, please provide the following additional information.

Investment Objectives:

☐ Income

☐ Growth

☐ Speculation

Please check one or more of the option strategies you may wish to employ:

☐ 1. Covered call writing, Protective puts

☐ 2. Put/call buys, Collars, Covered puts (speculative)

☐ 3. Put/call spreads (speculative)

☐ 4. Put writing (speculative), Cash-covered puts (cash accounts only)

☐ 5. Uncovered call writing (this is a highly speculative activity)

Options trading is not suitable for all investors. If you would like the ability to trade options through a TradeStation account, you should first read the disclosure document titled [Characteristics and Risks of Standardized Options](#).

FOR INTERNAL USE ONLY (APPROVAL OF EQUITY OPTIONS TRADING)

Date approved	R.R. Signature	Approved option levels: <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5
Date approved	ROP Signature	
CID#	Account #	

Account Owner Information

Financial Information

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REQUIRED

Account Owner Information (Continued)

Specific Line of Business within Stated Industry

Is the entity a financial services company or subsidiary or affiliate of a financial services company? ☐ No ☐ Yes

If yes, please indicate what type of financial services company:

- ☐ Hedge Fund (or custodian/manager of hedge fund assets) ☐ Mutual Fund ☐ Private Investment Fund
☐ Securities Broker or Dealer ☐ Futures Commodity Pool ☐ Bank ☐ Futures Commission Merchant
☐ Insurance Company ☐ Investment Advisor ☐ Futures Introducing Broker
☐ Other (specify): _____

Does the entity have or solicit U.S. investors? (For futures accounts only.) ☐ No ☐ Yes

If yes, please provide the NFA ID# for your Commodity Pool Registration or Exemption filing. NFA ID#: _____

Source of Funds in Account (Check all that apply.)

Please provide the source of assets that will be deposited or held in the account. If the source is a transfer from another firm, please indicate the source of funds that were used to purchase the assets.

- ☐ Salary, wages, savings* ☐ Working capital ☐ Investment capital gains ☐ Family, relatives, inheritance*
☐ Sales of property/assets ☐ Business income ☐ Other (specify): _____

For sole proprietorships or closely-held entities funded by these means.*Purpose of Account** (Check all that apply.)

- ☐ Investing of retirement funds ☐ Investing of college funds ☐ Investing for estate planning purposes
☐ Investing of pooled assets ☐ Investing for tax benefits ☐ Investing of business revenue
☐ Other (specify): _____

Primary Authorized Representative Information / Associated Person #1

Name of Primary Authorized Representative (officer, general partner, member or trustee) Title at Organization/Trust

Social Security #

Date of Birth

Citizenship

U.S. residents: Type of ID: ☐ Driver's License ☐ Passport ☐ Other:

ID #:

State of issue:

Foreign residents: Please send a photocopy of your unexpired passport and a form of address verification such as a driver's license, utility bill, state ID, bank statement, or lease contract dated or valid within the last 90 days. A W-8BEN is also required.

Home Address

City

State/Province

Zip

Country

Home Phone Number

Business Phone Number

Mobile Phone Number

Fax Number

E-mail Address

REQUIRED

Primary Authorized Representative Information / Associated Person #1 (continued)

Annual Income \$		Net Worth (Excluding Residence) \$	
Employment Status <input type="checkbox"/> Employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Unemployed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Homemaker			Type of Business/Industry
Name of Employer	Title	Employer's Address	
Source of income <input type="checkbox"/> Salary <input type="checkbox"/> Inheritance <input type="checkbox"/> Insurance Proceeds <input type="checkbox"/> Legal Settlement <input type="checkbox"/> Savings/Investments/Real Estate <input type="checkbox"/> Gift <input type="checkbox"/> Other _____ (specify)			
Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.</i>			
Are you a director, 10% shareholder or policy-making officer of a publicly-owned company? <input type="checkbox"/> No <input type="checkbox"/> Yes If Yes, please list trading symbol(s): _____			
If this is a Trust Account, is the Grantor the same person as the Trustee who is the Primary Authorized Representative? <input type="checkbox"/> Yes <input type="checkbox"/> No If no, please complete the information requested on the Associated Person form in that application form for the Grantor(s).			

Trading Experience

EQUITIES

No. of years trading
☐ None
☐ If under 1, specify months _____
☐ 1-5
☐ Over 5

OPTIONS

No. of years trading
☐ None
☐ If under 1, specify months _____
☐ 1-5
☐ Over 5

FUTURES

No. of years trading
☐ None
☐ If under 1, specify months _____
☐ 1-5
☐ Over 5



Please complete Associated Person's Information for all individual(s) who will have power or authority to directly or indirectly control the account (e.g. trustee, general partner, corporate officer, LLC manager or managing member) and for all beneficial owners with 10% or more ownership (e.g. shareholders of a corporation, members of an LLC, limited partners of a partnership).

Associated Person #2				
Name of (officer, general partner, member, trustee or beneficial owner)			Title at Organization/Trust	
Home Address				
City	State (U.S.)	Zip	Country	Province
Home Phone	Business Phone		E-mail Address	
Citizenship	U.S. Social Security #		Date of Birth	
Annual Income \$		Net Worth (excluding residence) \$		
Employment status <input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Homemaker			Type of Business/Industry	
Name of Employer		Title	Employer's Address	
Source of Income <input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Inheritance <input type="checkbox"/> Insurance proceeds <input type="checkbox"/> Legal settlement <input type="checkbox"/> Savings/Investments/Real Estate <input type="checkbox"/> Other _____ (specify)				
Are you a director, 10% shareholder or policy-making officer of a publicly-owned company? <input type="checkbox"/> No <input type="checkbox"/> Yes If Yes, please list trading symbol:				
Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.</i>				
U.S. persons: Type of ID: <input type="checkbox"/> Driver's License <input type="checkbox"/> Passport <input type="checkbox"/> Other:				
ID #:			State of issue:	
Foreign persons: Please send a photocopy of your unexpired passport and a form of address verification such as a driver's license, utility bill, state ID, bank statement, or lease contract dated or valid within the last 90 days. A form W-8BEN is also likely required.				

Please complete Associated Person's Information for all individual(s) who will have power or authority to directly or indirectly control the account (e.g. trustee, general partner, corporate officer, LLC manager or managing member) and for all beneficial owners with 10% or more ownership (e.g. shareholders of a corporation, members of an LLC, limited partners of a partnership).

Associated Person #3				
Name of (officer, general partner, member, trustee or beneficial owner)			Title at Organization/Trust	
Home Address				
City	State (U.S.)	Zip	Country	Province
Home Phone	Business Phone		E-mail Address	
Citizenship	U.S. Social Security #		Date of Birth	
Annual Income \$			Net Worth (excluding residence) \$	
Employment status <input type="checkbox"/> Employed <input type="checkbox"/> Self-Employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Homemaker			Type of Business/Industry	
Name of Employer		Title	Employer's Address	
Source of Income <input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Inheritance <input type="checkbox"/> Insurance proceeds <input type="checkbox"/> Legal settlement <input type="checkbox"/> Savings/Investments/Real Estate <input type="checkbox"/> Other _____ (specify)				
Are you a director, 10% shareholder or policy-making officer of a publicly-owned company? <input type="checkbox"/> No <input type="checkbox"/> Yes If Yes, please list trading symbol:				
Are you or your spouse employed by or associated with an NYSE, FINRA and/or NFA registered brokerage firm or an exchange? <input type="checkbox"/> No <input type="checkbox"/> Yes <i>If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.</i>				
U.S. persons: Type of ID: <input type="checkbox"/> Driver's License <input type="checkbox"/> Passport <input type="checkbox"/> Other:				
ID #:			State of issue:	
Foreign persons: Please send a photocopy of your unexpired passport and a form of address verification such as a driver's license, utility bill, state ID, bank statement, or lease contract dated or valid within the last 90 days. A form W-8BEN is also likely required.				



REQUIRED

Please carefully review all of the content in this package, including all of the information below and in each of the required agreements and other documents listed below (which each can be opened and read from the links below and are all easily accessible at www.tradestation.com through the link "Important Documents and Information"). **PLEASE READ ALL AGREEMENTS AND DOCUMENTS CAREFULLY, including the ones listed below and on the website, which are legally binding on you to the same extent as if set forth fully in this package.** Once you have reviewed and agreed with each document, but not before, you should sign below where indicated. We strongly recommend that you print a copy of each agreement and document for your records, **as your acknowledgment and acceptance of these agreements and other documents are legally binding on you and create estoppel and affirmative defenses against you, and you agree that they do so no differently than if each one was individually signed by you in ink and delivered to TradeStation in person.**

You understand and agree that the respective customer account agreements which will apply to, and govern, your account relationships with TradeStation companies are as follows: (1) for an **Equities** account (including for equity/index options trading), solely the **TradeStation Securities, Inc. Customer Account Agreement for Equities**, together with the **Master Securities Lending Agreement**, (2) for a **Futures** and/or **Futures Options** account, solely the **TradeStation Securities, Inc. Account Agreement for Futures**, and (3) for a **Crypto** account, solely the **TradeStation Crypto, Inc. Customer Account Agreement**. Also, there are supplemental agreements, disclosures and other documents which will apply to one or more your accounts (as set forth below) which you must agree to, accept and acknowledge. Depending on which accounts you are applying for, the following apply and govern:

TradeStation Securities, Inc. Accounts – Equities & Options (Agreements, Disclosures, Disclaimers and Assumption of Risk):

[TradeStation Securities, Inc. Customer Account Agreement for Equities](#)
[Master Securities Lending Agreement](#)
[TradeStation Technologies, Inc. Subscription Agreement](#)
[User Agreement \(Websites, Electronic Services, Social Media and Education\)](#)
[Investment and Trading Disclosures Booklet – Equities & Options](#)

TradeStation Securities, Inc. Accounts – Futures & Futures Options (Agreements, Disclosures, Disclaimers and Assumption of Risk):

[TradeStation Securities, Inc. Customer Account Agreement for Futures](#)
[TradeStation Technologies, Inc. Subscription Agreement](#)
[User Agreement \(Websites, Electronic Services, Social Media and Education\)](#)
[Investment and Trading Disclosures Booklet – Futures & Options on Futures](#)
[Firm Specific Disclosure Statement - CFTC Rule 1.55](#)

TradeStation Crypto, Inc. Accounts (Agreements, Disclosures, Disclaimers and Assumption of Risk)

[TradeStation Crypto, Inc. Customer Account Agreement](#)
[User Agreement \(Websites, Electronic Services, Social Media and Education\)](#)
[Investment and Trading Disclosures Booklet – Cryptocurrencies](#)
[U.S. States Where TradeStation Crypto is Licensed or Permitted to do Business and Related Notices and Information](#)

Residents of United Kingdom Only

[Terms of Business of TradeStation International Ltd](#)

The TradeStation Technologies, Inc. Subscription Agreement is a separate license agreement governing your use of TradeStation and third-party software and market data/content for Equities and Futures accounts, and the User Agreement (Websites, Electronic Services, Social Media and Education) applies to your use of those products and services offered by any of the TradeStation companies. We also direct you to review our Privacy Notice on the TradeStation website.

Please be aware that you acknowledge and agree that even if you are not applying to open a particular kind of account in this application, but already have such type of account (for example, you already have a Futures or Equities account, and are not applying for one in this application), the applicable agreements and documents listed above which pertain to those accounts will now apply to all of your existing accounts (for example, all of the agreements and documents listed above for Equities accounts, in their current form, now apply to all of your existing Equities accounts, and all of the agreements and documents above listed for Futures accounts now apply, in their current form, to all of your existing Futures accounts). You agree, intending to be legally bound, that any future action you take in any of such existing accounts constitutes irrevocable and unconditional acceptance by you of the current form of each of such agreements and documents (as same may later be amended or modified).

This account application, together with all of the applicable agreements, acknowledgments, representations, warranties, notices, disclaimers and assumptions of risk contained in this application and any of such documents, including those listed above on this page (collectively, the "Agreement"), may from time to time be modified or amended, in whole or in part, by

REQUIRED

any reasonable method of notice to you, including posting on a TradeStation website, platform application you use, or other electronic communication, and you agree that any transaction or activity you initiate in any of your accounts after such modification or amendment constitutes your unconditional acceptance of any such modification or amendment.

You represent and affirm that (1) you understand you will be categorized, billed and required to pay as a “professional” subscriber for market data fees, (2) you have the financial ability to withstand a total loss of all funds and other assets you deposit or maintain in any of your accounts, (3) all funds or assets deposited or later deposited in any of your accounts are and will be your own funds or assets, and have not been and will not be solicited or sourced from any third party (unless you have clearly disclosed in this application that you manage investments of others), and any withdrawals you make from any of your accounts will be transferred solely to an account in your name, under your control, and which only you own, and (4) no third party has or will have any direct or indirect ownership or other beneficial or financial interest in those funds or assets, or any asset or other property purchased with or through the use of such funds or assets, or in any of your accounts, in any manner (except only as you have clearly disclosed in this application).

AGREEMENT TO ARBITRATION OF DISPUTES RELATING TO (1) EQUITIES ACCOUNTS AND (2) CRYPTO ACCOUNTS:

(1) If you are applying for an **Equities (Equities & Options)** account, you acknowledge and affirm that you have read and agree to the **pre-dispute arbitration provisions** set forth in **section 38 of the [TradeStation Securities, Inc. Customer Account Agreement for Equities](#)**, and (2) if you are applying for a **Crypto** account, you acknowledge and affirm that you have read and agree to the **pre-dispute arbitration provisions** set forth in **section 42 of the [TradeStation Crypto, Inc. Customer Account Agreement](#)**.

ELECTION OF ARBITRATION FOR DISPUTES RELATING TO FUTURES ACCOUNTS: If you are applying for a **Futures or Futures Options** account, you acknowledge and affirm that you have read the **pre-dispute arbitration provisions** set forth in **section 38 of the [TradeStation Securities, Inc. Account Agreement for Futures](#)**, and that you are making the following election (**please type your initials - must choose one**):

I (We) Accept the Arbitration Provision



I (We) Do Not Accept the Arbitration Provision

U.S. citizens and U.S. residents only — Tax Withholding Certification. Under penalty of perjury, you certify that: (1) the number provided by you in this account application is your correct taxpayer identification number; (2) you are not subject to backup withholding because (a) you are exempt from backup withholding, or (b) you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified you that you are no longer subject to backup withholding; (3) you are a U.S. person (which includes being a U.S. resident alien); and (4) the FATCA code(s) entered in this account application (if any) indicating that you are exempt from FATCA reporting is correct. You understand that if you are not a U.S. person, or have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return, you may not sign below and you must contact us to complete your application so that we can apply any required backup withholding. **Note: The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding. If this is a joint account, each joint account owner is separately making the certification.**

Note: If you are not a U.S. person, you will likely be required to complete and submit applicable Forms W-8. You further represent and confirm that no TradeStation company solicited you to apply for, open or establish any kind of account with any TradeStation company.

You confirm and affirm that all information, representations, warranties, affirmations, acknowledgements and agreements that you have provided or made in this application are voluntarily and knowingly given and made, are true, complete and accurate, and not misleading in any respect, and you will promptly notify us in writing if any of the information or any representation you have provided materially changes or ceases to be true, complete and accurate.

By signing below, you hereby execute and deliver the Agreement, intending to be legally bound by it.

Authorized Signature		Date
Print Authorized Signor's Name and Title (If sole proprietorship, simply state "owner.")		
Authorized Signature		Date
Print Authorized Signor's Name and Title		



**PLEASE BE SURE TO INCLUDE A COPY OF YOUR ORGANIZATION'S ARTICLES OR
CERTIFICATE OF INCORPORATION**

I, _____, being a duly authorized representative of _____,

a corporation duly organized and validly existing under the laws of _____, and having a principal

place of business at _____ (the "Corporation"), hereby certify that the

following Resolutions were duly adopted by the Board of Directors of the Corporation and are now in full force and effect:

Opening of Brokerage Account(s); Conducting Transactions

RESOLVED, that the Corporation, on its own behalf, or, if the Account (as defined below) is in the name of a partnership, limited liability company or other entity of which the Corporation is a general partner, managing member or other authorized representative, on behalf of that partnership, limited liability company or other entity, is hereby authorized and directed to establish and maintain one or more accounts (including margin accounts) (each, and collectively, the "Account"), and to engage in any of the transactions hereinafter described, with or through TradeStation, or any of its now or hereafter existing affiliated entities, through an Account or otherwise. TradeStation, to the fullest extent of its authority under applicable regulations, is hereby authorized to act as principal or agent in such transactions;

Trading Authority*

RESOLVED, that the Corporation, on its own behalf, or, if the Account is in the name of a partnership, limited liability company or other entity of which the Corporation is a general partner, managing member or other authorized representative, on behalf of that partnership, limited liability company or other entity, is hereby authorized and empowered to purchase (including on a forward or when-issued basis or on margin), hold, finance, lend, hypothecate, pledge, exercise, convert, tender, redeem, exchange, transfer, assign, sell (including short, when-issued and forward sales), enter into, write, issue and otherwise deal and trade, singly or in combination, in the following:

- **Securities (General):** any and all forms of securities, trust certificates, evidences of interest, participation or indebtedness of any kind whatsoever, whether publicly registered or exempt from registration (for example, as a private placement or exempt security), including, without limitation, the securities, instruments and transactions listed in the categories set forth below;
- **Debt Securities:** any and all forms of bonds, debentures or notes of any coupon (including "zero coupon") or maturity, including but not limited to obligations issued or guaranteed by the United States Government or any of its agencies or instrumentalities, Government Sponsored Enterprises, foreign sovereign nations, corporations or other entities, including special purpose entities, whether investment grade, unrated or high yield or secured or unsecured;
- **Equity Securities:** any and all forms of common and preferred stock, scrip, warrants and rights;
- **Mortgages, Mortgage-Backed and Other Asset-Backed Securities:** whole mortgage loans and interests and participations in mortgage loans, whether residential or commercial or multi-family; mortgage-backed, mortgage-related or mortgage-derived securities or instruments of any kind whatsoever including, but not limited to, any tranches of collateralized mortgage obligations, REMICs, mortgage pass-through certificates and participation certificates, whether issued or guaranteed by or backed by collateral of a government agency, Government Sponsored Enterprise or a private issuer, including, but not limited to, planned or targeted amortization, interest-only, principal-only, floating rate, inverse floating rate or zero coupon classes, interest-only or principal-only strips or mortgage residuals or any combination of the foregoing; all forms and tranches of asset-backed securities, including, but not limited to, securities backed by auto, truck, boat, home equity, credit card loans, and any other form of consumer debt or business debt, lease payments, any form of bank debt, and interests in and debt instruments issued by entities whose principal assets are any of the foregoing and any asset-backed residual;
- **Repurchase, Reverse Repurchase and Securities Lending and Similar Transactions:** repurchase and reverse repurchase transactions, securities lending, bonds borrow pledge, dollar rolls, buy forward sale and other similar transactions involving cash or any kind of security, asset-backed interest or participation or other financial instrument;
- **Money Market Instruments; Bank Notes and Bank Loans:** money market instruments, including but not limited to bankers acceptances, certificates of deposit and commercial paper, deposit notes and other bank notes and corporate, commercial or sovereign loans or obligations;
- **Foreign Exchange:** spot and forward transactions in foreign currencies, currency futures contracts, and listed or over-the-counter options on foreign currencies;
- **Commodities and Futures:** commodities and commodity, financial and equity contracts and listed and over-the-counter or other options or derivatives on any of the foregoing;
- **Options and Certain Derivative Securities:** any and all forms of listed or over-the-counter or other options (whether or not "covered") on, and securities or futures whose performance is linked to, individual securities, groups or indices of securities, currencies, interest rate indices, commodity indices, financial instruments, or any other derivative transaction type whether or not described in this resolution;
- **Interest Rate, Currency and Other Swap Transactions:** swap transactions, including, but not limited to, interest rate swaps, basis swaps, commodity swaps, rate protection transactions, serialized interest rate options, interest rate futures, caps, collars, floors, corridors, and forward rate agreements, currency swap agreements, cross-currency rate swap agreements, or equity or equity index swaps, or any similar transaction or combination thereof, whether on a forward basis or otherwise, including any option to enter into any of the foregoing;
- **Digital Assets:** any and all digital assets, including cryptocurrencies, virtual currencies or tokens, whether or not deemed or recognized as currencies, securities or commodities, and whether or not listed or over-the-counter or registered or exempt, and all options, futures and other derivatives thereof.
- **International Securities and Transactions:** each of the above itemized securities, obligations, instruments and other transaction types may be dealt in regardless of whether such security, obligation, instrument or other transaction type is issued by, the obligation of, or related to, a foreign person, enterprise or sovereign, is denominated in a foreign currency or trades or is settled on or through a foreign market, exchange or clearinghouse; and
- **Miscellaneous:** any transaction that is similar to any of the transactions described above (including an option or other derivative with respect to any of them), any combination of these transactions or any other transaction, whether or not described in this resolution.

* INVESTMENTS OR TRANSACTIONS NOT AUTHORIZED ARE CROSSED OUT.

Authorized Officer/Agents

RESOLVED, that each of the following officers or agents of the Corporation is hereby individually authorized for and on behalf of the Corporation, acting alone, (1) to give to and receive from TradeStation oral or written instructions, confirmations, notices or demands with respect to any Account or transaction; (2) to have complete authority at all times to bind the Corporation (and the partnership, limited liability company or other entity for which the Corporation acts, if applicable) to the performance of any transaction or agreement, amendment or modification thereof relating to any Account or transaction involving the Corporation (or the partnership, limited liability company or other entity for which the Corporation acts, if applicable); (3) to lend or borrow money or securities or contracts or digital assets and to secure the repayment thereof with the property of the Corporation (or the partnership, limited liability company or other entity for which the Corporation acts, if applicable); (4) to pay in cash or by check or draft drawn upon the funds of the Corporation (or the partnership, limited liability company or other entity for which the Corporation acts, if applicable) any sums required to be paid in connection with any Account or transaction; (5) to order the transfer or delivery of any securities, contracts, funds, digital assets or other property to such officer or agent or to any other person; (6) to order the transfer of record of any securities, contracts, funds, digital assets or other property to any name and to accept delivery of any securities, contracts, funds or other property; (7) to direct the sale or exercise of any rights with respect to any securities, contracts, digital assets or other property; (8) to sign for and on behalf of the Corporation (or the partnership, limited liability company or other entity for which the Corporation acts, if applicable) all releases, assignments, powers of attorney or other documents in connection with any Account or transaction; (9) to agree to any terms or conditions affecting any Account or transaction; (10) to endorse any securities, contracts or other property in order to pass title thereto (or to any interest therein); (11) to direct TradeStation to surrender any securities, contracts, funds, digital assets or other property for the purpose of effecting any exchange or conversion thereof or otherwise; (12) to appoint any other person or persons to do any and all things which such officer or agent is hereby empowered to do; and (13) generally, to take all such action as such officer or agent may deem necessary or desirable to implement or facilitate the trading activities authorized in the preceding Resolutions:

Name of Person	Title/Firm Name	Specimen Signature

Effectiveness of Resolutions

RESOLVED, that the foregoing Resolutions shall apply to all transactions and agreements between the Corporation (and the partnership, limited liability company or other entity for which the corporation acts, if applicable) and TradeStation, even if such transactions and agreements were entered into by the Corporation (or the partnership, limited liability company or other entity for which the Corporation acts, if applicable) and TradeStation prior to the adoption of such Resolutions (all of which are hereby unconditionally ratified in all respects), shall supersede any prior resolutions dealing with the subject matter covered herein and shall remain in full force and effect in all respects until the close of business on the day (if any) that TradeStation receives written notice of a modification or revocation thereof at its offices located at The TradeStation Building, 8050 S.W. 10th Street, Suite 2000, Plantation, FL 33324, Attn: Vice President of Brokerage Client Service.

IN WITNESS WHEREOF, I have hereunto set my hand and the seal of the Corporation on this _____ day of

_____, 20_____

X _____

Authorized Representative (SIGNATURE)

(CORPORATE SEAL)

This Certificate must be executed by a person or entity duly authorized by the Corporation to do so. The person executing this Certificate shall not be one of the persons authorized to act for the Corporation pursuant to the Resolutions referred to in the clause above unless (i) the Corporation has provided evidence that the Corporation has only one officer, director or employee or (ii) the cross certification appearing below is completed.

I, _____ the undersigned _____ of the Corporation hereby affirm and ratify each of the certifications, representations, warranties, covenants, and agreements contained in this Certificate.

IN WITNESS WHEREOF the Corporation, through the undersigned, has executed this Certificate as of the ____ day of _____, ____.

By: _____

The Cross-Certification should be completed by a person authorized to act for the Corporation pursuant to the Resolutions set forth in this Certificate.


PLEASE BE SURE TO INCLUDE A COPY OF YOUR ORGANIZATION'S OPERATING AGREEMENT

I, _____, being a duly authorized representative of _____, a limited liability company duly organized and validly existing under the laws of _____, and having its principal place of business at _____ (the "Company"), or of a corporation or other entity that is the managing member or manager of the Company, hereby (a) represent and warrant that the undersigned is a duly authorized representative of such entity and is duly authorized to execute this Certificate on behalf of such entity (as applicable, in its individual capacity and in its capacity as managing member or manager of the Company), and (b) certify as follows on behalf of the Company (and, as applicable, such entity that is the managing member or manager of the Company):

Opening of Brokerage Account(s); Conducting Transactions

I CERTIFY THAT the Company is authorized and directed to establish and maintain, on its own behalf, or, if the Account is in the name of a partnership or other entity of which the Company is a general partner or authorized representative, on behalf of that partnership or other entity, one or more accounts (including margin accounts) (each, and collectively, the "Account"), and to engage in any of the transactions hereinafter described, with or through TradeStation or any of its now or hereafter existing affiliated entities, through an Account or otherwise. TradeStation, to the fullest extent permitted by law, is authorized to act as principal or agent in such transactions.

Trading Authority*

RESOLVED, that the Corporation, on its own behalf, or, if the Account is in the name of a partnership, limited liability company or other entity of which the Corporation is a general partner, managing member or other authorized representative, on behalf of that partnership, limited liability company or other entity, is hereby authorized and empowered to purchase (including on a forward or when-issued basis or on margin), hold, finance, lend, hypothecate, pledge, exercise, convert, tender, redeem, exchange, transfer, assign, sell (including short, when-issued and forward sales), enter into, write, issue and otherwise deal and trade, singly or in combination, in the following:

- **Securities (General):** any and all forms of securities, trust certificates, evidences of interest, participation or indebtedness of any kind whatsoever, whether publicly registered or exempt from registration (for example, as a private placement or exempt security), including, without limitation, the securities, instruments and transactions listed in the categories set forth below;
- **Debt Securities:** any and all forms of bonds, debentures or notes of any coupon (including "zero coupon") or maturity, including but not limited to obligations issued or guaranteed by the United States Government or any of its agencies or instrumentalities, Government Sponsored Enterprises, foreign sovereign nations, corporations or other entities, including special purpose entities, whether investment grade, unrated or high yield or secured or unsecured;
- **Equity Securities:** any and all forms of common and preferred stock, scrip, warrants and rights;
- **Mortgages, Mortgage-Backed and Other Asset-Backed Securities:** whole mortgage loans and interests and participations in mortgage loans, whether residential or commercial or multi-family; mortgage-backed, mortgage-related or mortgage-derived securities or instruments of any kind whatsoever including, but not limited to, any tranches of collateralized mortgage obligations, REMICs, mortgage pass-through certificates and participation certificates, whether issued or guaranteed by or backed by collateral of a government agency, Government Sponsored Enterprise or a private issuer, including, but not limited to, planned or targeted amortization, interest-only, principal-only, floating rate, inverse floating rate or zero coupon classes, interest-only or principal-only strips or mortgage residuals or any combination of the foregoing; all forms and tranches of asset-backed securities, including, but not limited to, securities backed by auto, truck, boat, home equity, credit card loans, and any other form of consumer debt or business debt, lease payments, any form of bank debt, and interests in and debt instruments issued by entities whose principal assets are any of the foregoing and any asset-backed residual;
- **Repurchase, Reverse Repurchase and Securities Lending and Similar Transactions:** repurchase and reverse repurchase transactions, securities lending, bonds borrow pledge, dollar rolls, buy forward sale and other similar transactions involving cash or any kind of security, asset-backed interest or participation or other financial instrument;
- **Money Market Instruments; Bank Notes and Bank Loans:** money market instruments, including but not limited to bankers acceptances, certificates of deposit and commercial paper, deposit notes and other bank notes and corporate, commercial or sovereign loans or obligations;
- **Foreign Exchange:** spot and forward transactions in foreign currencies, currency futures contracts, and listed or over-the-counter options on foreign currencies;
- **Commodities and Futures:** commodities and commodity, financial and equity contracts and listed and over-the-counter or other options or derivatives on any of the foregoing;
- **Options and Certain Derivative Securities:** any and all forms of listed or over-the-counter or other options (whether or not "covered") on, and securities or futures whose performance is linked to, individual securities, groups or indices of securities, currencies, interest rate indices, commodity indices, financial instruments, or any other derivative transaction type whether or not described in this resolution;
- **Interest Rate, Currency and Other Swap Transactions:** swap transactions, including, but not limited to, interest rate swaps, basis swaps, commodity swaps, rate protection transactions, serialized interest rate options, interest rate futures, caps, collars, floors, corridors, and forward rate agreements, currency swap agreements, cross-currency rate swap agreements, or equity or equity index swaps, or any similar transaction or combination thereof, whether on a forward basis or otherwise, including any option to enter into any of the foregoing;
- **Digital Assets:** any and all digital assets, including cryptocurrencies, virtual currencies or tokens, whether or not deemed or recognized as currencies, securities or commodities, and whether or not listed or over-the-counter or registered or exempt, and all options, futures and other derivatives thereof.
- **International Securities and Transactions:** each of the above itemized securities, obligations, instruments and other transaction types may be dealt in regardless of whether such security, obligation, instrument or other transaction type is issued by, the obligation of, or related to, a foreign person, enterprise or sovereign, is denominated in a foreign currency or trades or is settled on or through a foreign market, exchange or clearinghouse; and
- **Miscellaneous:** any transaction that is similar to any of the transactions described above (including an option or other derivative with respect to any of them), any combination of these transactions or any other transaction, whether or not described in this resolution.

*INVESTMENTS OR TRANSACTIONS NOT AUTHORIZED ARE CROSSED OUT.

Each of the following persons is hereby individually authorized for and on behalf of the Company, acting alone, (1) to give to and receive from TradeStation oral, written or electronic instructions, confirmations, notices or demands with respect to any Account or transaction; (2) to have complete authority at all times to bind the Company (and the partnership or other entity for which the Company acts, if applicable) to the performance of any transaction or agreement, amendment or modification thereof, relating to any Account or transaction involving the Company (or the partnership or other entity for which the Company acts, if applicable); (3) to lend or borrow money, financial instruments or currencies or securities or contracts or digital assets and to secure the repayment thereof with the property of the Company (or the partnership or other entity for which the Company acts, if applicable); (4) to pay in cash or by check or draft drawn upon the funds of the Company (or the partnership or other entity for which the Company acts, if applicable) any sums required to be paid in connection with any Account or transaction; (5) to order the transfer or delivery of any securities, contracts, funds, digital assets, financial instruments or currencies, or other property to such person or to any other person or entity; (6) to order the transfer of record of any securities, contracts, funds, digital assets, financial instruments or currencies, or other property to any name and to accept delivery of any securities, contracts, funds, financial instruments or currencies, or other property; (7) to direct the sale or exercise of any rights with respect to any securities, contracts, funds, digital assets, financial instruments or currencies, or other property; (8) to sign for and on behalf of the Company (and the partnership or other entity for which the Company acts, if applicable) all releases, assignments, powers of attorney or other documents in connection with any Account or transaction; (9) to agree to any terms or conditions affecting any Account or transaction; (10) to endorse any securities, contracts, funds, digital assets, financial instruments or currencies, or other property in order to pass title thereto (or to any interest therein); (11) to direct TradeStation to surrender any securities, contracts, funds, financial instruments or currencies, or other property for the purpose of effecting any exchange or conversion thereof or otherwise; (12) to appoint any other person or persons to do any and all things which such named person is hereby empowered to do; and (13) generally, to take all such action as such person may deem necessary or desirable to implement or facilitate the foregoing trading activities:

Name of Person	Title/Firm Name	Specimen Signature

The foregoing shall apply to all transactions and agreements between the Company (and the partnership or other entity for which the Company acts, if applicable) and TradeStation, even if such transactions and agreements were previously entered into by the Company (or the partnership or other entity for which the Company acts, if applicable) and TradeStation (all of which are hereby unconditionally ratified in all respects), shall supersede any prior authorization dealing with the subject matter covered herein and shall remain in full force and effect in all respects until the close of business on the day (if any) after TradeStation receives written notice of the modification or revocation thereof at its offices located at The TradeStation Building, 8050 S.W. 10th Street, Suite 2000, Plantation, FL 33324, Attn: Vice President of Brokerage Client Service. TradeStation is instructed to direct all notices or communications, including demands, notices, confirmations, reports and statements in connection with the Account(s) to the name and address provided to TradeStation in the Account Application and Agreement.

Please provide a copy of the Company's Operating Agreement or similar document and any other documents that authorize the person signing this Certificate on behalf of the Company to do so.

Print Name and Title of Authorized Representative	Signature of Authorized Representative		Date
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PLEASE BE SURE TO INCLUDE A COPY OF YOUR ORGANIZATION'S TRUST AGREEMENT

Account Title		
Account #	Tax ID #	Date of Trust
Name(s) of Trustee(s)		

THE UNDERSIGNED TRUSTEE(S) HEREBY CERTIFY(IES) THAT:

1. Opening of Brokerage Accounts.

The trust agreement for the trust: (please check one): ☐ does ☐ does not authorize the trustees to open securities and/or futures and/or digital assets accounts on behalf of the trust.

All documentation may be executed by (please check one):

☐ any trustee individually ☐ a majority of the trustees jointly ☐ the following trustees jointly ☐ all trustees jointly

(print names of trustees who must sign jointly): _____

2. Investment Decision Making. The trust agreement for the trust authorizes investment decisions to be made by (please check one):

☐ any trustee individually ☐ a majority of the trustees jointly ☐ the following trustees jointly ☐ all trustees jointly (print names of trustees who must decide jointly): _____

3. Permitted Investments. The trust is authorized to trade in the securities, futures, digital assets, and other financial instruments specified below: (please check all that apply):

- | | | | |
|---|---|--|--|
| <input type="checkbox"/> Corporate Equities & ETFs | <input type="checkbox"/> Uncovered Options | <input type="checkbox"/> Digital Assets | <input type="checkbox"/> Municipal Bonds |
| <input type="checkbox"/> Physical Commodities | <input type="checkbox"/> Mutual Funds/Unit Trusts | <input type="checkbox"/> OTC Options | <input type="checkbox"/> Corporate Bonds |
| <input type="checkbox"/> U.S. Government Bonds | <input type="checkbox"/> Foreign Securities | <input type="checkbox"/> U.S. Agencies | <input type="checkbox"/> Certificates of Deposit |
| <input type="checkbox"/> Covered/Long Options | <input type="checkbox"/> Real Estate Investment Trusts | <input type="checkbox"/> Foreign Exchange Currencies | |
| <input type="checkbox"/> Contracts for Differences | <input type="checkbox"/> Limited Partnerships (including leveraged) | | |
| <input type="checkbox"/> Commodity/Financial/Equity Futures | <input type="checkbox"/> Other _____ | | |

4. Borrowing Money and Pledging Collateral. The trust: (please check one): ☐ is ☐ is not expressly authorized to borrow against the loan value of the marginable securities or contracts in its account and to pledge such securities or contracts as collateral and authorize the rehypothecation of marginable securities and instruments and digital assets.5. Buying on Margin. The trust: (please check one): ☐ is ☐ is not expressly authorized to open and maintain a margin account and, in conjunction therewith, to buy any of the above-indicated financial instruments on margin or other forms of credit or buying leverage.6. Selling Short. The trust: (please check one): ☐ is* ☐ is not expressly authorized to sell short any of the above-indicated securities or financial instruments for which short-selling is available in the applicable market.

*Must be consistent with representations 4 and 5, above.

7. Distributions. The trust agreement for the trust: (please check one): ☐ does ☐ does not expressly authorize the trustees to make distributions from the trust.8. Power of Attorney. The trust agreement for the trust: (please check one): ☐ does ☐ does not expressly authorize the trustees to appoint an attorney-in-fact to act on behalf of the trust.

The undersigned trustee(s) further represent(s) that the certifications contained herein are true, accurate and consistent with the purposes and investment objectives of the trust. The undersigned trustees jointly and severally agree to indemnify TradeStation and its affiliates and hold them harmless from any losses, expenses, penalties, claims or liabilities (including reasonable attorneys' fees and expenses) that may arise out of TradeStation's acting in reliance on the representations contained in this certification. This indemnification shall survive the termination of the trust or the trust account.

TradeStation may rely upon this certification unless and until the trustees advise it, in writing, otherwise with respect to any of the matters herein contained.

Signature of Trustees (All trustees must sign this certification)

Signature	Signature	Signature
Print Name	Print Name	Print Name
Signature	Signature	Signature
Print Name	Print Name	Print Name

NOTARIZATION
(required)

STATE OF _____, COUNTY OF _____

On the _____ day of _____, in the year 20_____, before me personally came each of the individuals listed above to me known, and known to be the individual(s) described herein, and executed the foregoing Certificate of Trustee(s) and duly acknowledged to me that he/she/they executed the same.

Notary Public
My commission expires

THIS FORM WILL NOT BE ACCEPTED UNLESS NOTARIZED


PLEASE BE SURE TO INCLUDE A COPY OF YOUR ORGANIZATION'S PARTNERSHIP AGREEMENT

I, _____, being a duly authorized representative of _____, the general partner ("General Partner") of _____, a partnership duly organized and validly existing under the laws of _____, and having its principal place of business at _____ (the "Partnership"), hereby (a) represent and warrant that the undersigned is a duly authorized representative of such General Partner and is duly authorized to execute this Certificate on behalf of such General Partner in its individual capacity and in its capacity as general partner of the Partnership, and (b) certify as follows on behalf of such General Partner and the Partnership:

Opening of Brokerage Account(s); Conducting Transactions

I CERTIFY THAT such General Partner is authorized and directed to establish and maintain on behalf of the Partnership one or more accounts (including margin accounts) (each, and collectively, the "Account"), and to engage in any of the transactions hereinafter described, with or through TradeStation or any of its now or hereafter existing affiliated entities, through an Account or otherwise. TradeStation, to the fullest extent permitted by law, is hereby authorized to act as principal or agent in such transactions.

Trading Authority*

I FURTHER CERTIFY THAT the General Partner on behalf of the Partnership is authorized and empowered to purchase (including on a forward or when-issued basis or on margin), hold, finance, pledge, exercise, convert, tender, redeem, exchange, transfer, assign, sell (including short, when issued and forward sales), enter into, write, issue, terminate, amend and otherwise deal and trade, singly or in combination, in the following:*

- **Securities (General):** any and all forms of securities, trust certificates, evidences of interest, participation or indebtedness of any kind whatsoever, whether publicly registered or exempt from registration (for example, as a private placement or exempt security), including, without limitation, the securities, instruments and transactions listed in the categories set forth below;
- **Debt Securities:** any and all forms of bonds, debentures or notes of any coupon (including "zero coupon") or maturity, including, but not limited to, obligations issued or guaranteed by the United States Government or any of its agencies or instrumentalities, Government Sponsored Enterprises, foreign sovereign nations, corporations or other entities, including special purpose entities, whether investment grade, unrated or high yield or secured or unsecured;
- **Equity Securities:** any and all forms of common and preferred stock, scrip, warrants and rights;
- **Mortgages, Mortgage-Backed and Other Asset-Backed Securities:** whole mortgage loans and interests and participations in mortgage loans, whether residential or commercial or multi-family; mortgage-backed, mortgage-related or mortgage-derived securities or instruments of any kind whatsoever including, but not limited to, any tranches of, collateralized mortgage obligations, REMICs, mortgage pass-through certificates and participation certificates, whether issued or guaranteed by or backed by collateral of a government agency, Government Sponsored Enterprise or a private issuer, including, but not limited to, planned or targeted amortization, interest-only, principal-only, floating rate, inverse floating rate or zero coupon classes, interest-only or principal-only strips or mortgage residuals or any combination of the foregoing; all forms and tranches of asset-backed securities, including, but not limited to, securities backed by auto, truck, boat, home equity, credit card loans, and any other form of consumer debt or business debt, lease payments, any form of bank debt, and interest in and debt instruments issued by entities whose principal assets are any of the foregoing and any asset-backed residual;
- **Repurchase, Reverse Repurchase and Securities Lending and Similar Transactions:** repurchase and reverse repurchase transactions, securities lending, bonds borrow pledge, dollar rolls, buy forward sale and other similar transactions involving cash or any kind of security, asset-backed interest or participation or other financial instrument;
- **Money Market Instruments; Bank Notes and Bank Loans:** money market instruments, including, but not limited to, bankers acceptances, certificates of deposit and commercial paper, deposit notes and other bank notes and corporate, commercial or sovereign loans or obligations;
- **Foreign Exchange:** spot and forward transactions in foreign currencies, currency futures contracts, and listed or over-the-counter options on foreign currencies;
- **Commodities and Futures:** commodities and commodity, financial, equity and security futures contracts and listed and over-the-counter or other options or derivatives on any of the foregoing;
- **Options and Certain Derivative Securities:** any and all forms of listed or over-the-counter or other options (whether or not "covered") on, and securities or futures whose performance is linked to, individual securities, groups or indices of securities, currencies, interest rate indices, commodity indices, financial instruments, or any other derivative transaction type whether or not described in this Certificate;
- **Interest Rate, Currency and Other Swap Transactions:** swap transactions, including, but not limited to, interest rate swaps, basis swaps, commodity swaps, rate protection transactions, serialized interest rate options, interest rate futures, caps, collars, floors, corridors, and forward rate agreements, currency swap agreements, cross-currency rate swap agreements, or equity or equity index swaps, or any similar transaction or combination thereof, whether on a forward basis or otherwise, including any option to enter into any of the foregoing;

- **Digital Assets:** any and all digital assets, including cryptocurrencies, virtual currencies or tokens, whether or not deemed or recognized as currencies, securities or commodities, and whether or not listed or over-the-counter or registered or exempt, and all options, futures and other derivatives thereof.
- **International Securities and Transactions:** each of the above itemized securities, obligations, instruments and other transaction types may be dealt in regardless of whether such security, obligation, instrument or other transaction type is issued by, the obligation of, or related to, a foreign person, enterprise or sovereign, is denominated in a foreign currency or trades or is settled on or through a foreign market, exchange or clearinghouse; and
- **Miscellaneous:** any transaction that is similar to any of the transactions described above (including an option or other derivative with respect to any of them), any combination of these transactions or any other transaction, whether or not described in this Certificate.


*INVESTMENTS OR TRANSACTIONS NOT AUTHORIZED ARE CROSSED OUT.

Each of the following persons is hereby individually authorized for and on behalf of the General Partner and the Partnership, acting alone, (1) to give to and receive from TradeStation oral, written or electronic instructions, confirmations, notices or demands with respect to any Account or transaction; (2) to have complete authority at all times to bind the General Partner and the Partnership to the performance of any transaction or agreement, amendment or modification thereof, relating to any Account or transaction involving the General Partner or the Partnership; (3) to lend or borrow money, financial instruments or currencies or securities or contracts or digital assets and to secure the repayment thereof with the property of the General Partner or the Partnership; (4) to pay in cash or by check or draft drawn upon the funds of the General Partner or the Partnership any sums required to be paid in connection with any Account or transaction; (5) to order the transfer or delivery of any securities, contracts, funds, digital assets, financial instruments or currencies, or other property to such person or to any other person or entity; (6) to order the transfer of record of any securities, contracts, funds, digital assets, financial instruments or currencies, or other property to any name and to accept delivery of any securities, contracts, funds, digital assets, financial instruments or currencies, or other property; (7) to direct the sale or exercise of any rights with respect to any securities, contracts, funds, digital assets, financial instruments or currencies, or other property; (8) to sign for and on behalf of the General Partner and the Partnership all releases, assignments, powers of attorney or other documents in connection with any Account or transaction; (9) to agree to any terms or conditions affecting any Account or transaction; (10) to endorse any securities, contracts, funds, digital assets, financial instruments or currencies, or other property in order to pass title thereto (or to any interest therein); (11) to direct TradeStation to surrender any securities, contracts, funds, digital assets, financial instruments or currencies, or other property for the purpose of effecting any exchange or conversion thereof or otherwise; (12) to appoint any other person or persons to do any and all things which such named person is hereby empowered to do; and (13) generally, to take all such action as such person may deem necessary or desirable to implement or facilitate the foregoing trading activities:

Name of Person	Title/Firm Name	Specimen Signature

The foregoing shall apply to all transactions and agreements between the General Partner (and the Partnership) and TradeStation, even if such transactions and agreements were previously entered into by the General Partner (or the Partnership) and TradeStation (all of which are hereby unconditionally ratified in all respects), shall supersede any prior authorization dealing with the subject matter covered herein and shall remain in full force and effect in all respects until the close of business on the day (if any) after TradeStation receives written notice of the modification or revocation thereof at its offices located at The TradeStation Building, 8050 S.W. 10th Street, Suite 2000, Plantation, FL 33324, Attn: Vice President of Brokerage Client Service. TradeStation is instructed to direct all notices or communications, including demands, notices, confirmations, reports and statements in connection with the Account(s) to the name and address provided to TradeStation in the Account Application and Agreement.

Please provide a copy of the Partnership's Partnership Agreement and any other documents that authorize the person signing this Certificate on behalf of the Partnership to do so.

Print Name and Title of Authorized Representative	Signature of Authorized Representative		Date
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RE: Account Number**To: TradeStation****The TradeStation Building
8050 S.W. 10th Street, Suite 2000
Plantation, FL 33324**I, _____, hereby certify that I am, as an individual sole proprietor, and not on
(Name of sole proprietor - your legal name)

behalf or part of any entity, engaged in business under the assumed name of

(Name of sole proprietorship business)

at _____

in the city of _____ State of _____.

I am the sole proprietor of the business so conducted and no other person, partnership, corporation or other entity has any ownership interest therein.

All securities, commodities, financial instruments, contracts, digital assets and other assets and property in the name of

_____ are owned solely by me, as an individual.
(Name of sole proprietorship business)

I hereby agree to indemnify and hold TradeStation, its controlling persons, successors and assigns and all of its affiliates (the "Indemnified Parties") harmless from and against, and pay promptly on demand, any damages, losses, claims and/or costs (including attorney's fees) which any of the Indemnified Parties may incur in complying with my instructions relating to the above named account(s) at TradeStation or any affiliate of TradeStation.

Signator of Sole Proprietor		Print Name	Date
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