



Account Application Package

Existing TradeStation Securities, Inc. Equities Account Holders

(who wish to open a Crypto account with TradeStation Crypto, Inc.)

- Corporation
- Limited Liability Company
- Partnership (Limited or General)
- Trust
- Sole Proprietorship

ALL completed and signed pages must be returned.

This application is for you only if you already have a TradeStation Securities, Inc. Equities account and want to apply for a TradeStation Crypto, Inc. account (to invest or trade in cryptocurrencies with TradeStation Crypto, Inc.).

TradeStation Securities, Inc. is an SEC-licensed broker dealer and a CFTC-licensed futures commission merchant (FCM), and a member of FINRA, SIPC, CME, NFA and several equities and futures exchanges, which offers to self-directed investors and traders Equities accounts for stocks, exchange-traded products (such as ETFs) and equity and index options, and Futures accounts for commodity and financial futures and futures options (TradeStation Securities **does not** offer Crypto accounts).

TradeStation Crypto, Inc. is neither a securities broker dealer nor an FCM, and offers to self-directed investors and traders cryptocurrency brokerage services under federal and state money services business/money-transmitter and similar registrations and licenses (TradeStation Crypto **is not** a member of FINRA, SIPC, CME, NFA or any equities or futures exchange, and **does not** offer Equities or Futures accounts).

TradeStation Technologies, Inc. is a software development company which offers analytics subscriptions that self-directed investors and traders can use to chart, analyze and design back-tested strategies for Equities, Options, Futures, Forex and Crypto markets (TradeStation Technologies **is not** a financial services company).

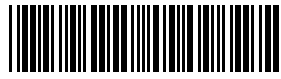
You Can Trade, Inc. is an online educational, news and entertainment media publication service that seeks to provide to the public a marketplace of potentially actionable investment and trading content, ideas, demonstrations and informational tools. You Can Trade **is not** an investment, trading or financial adviser or pool, broker-dealer, futures commission merchant, investment research company, digital asset or cryptocurrency exchange or broker, or any other kind of financial or money services company, and **does not** give any investment, trading or financial advice, or research analyses or recommendations, or make any judgments, hold any opinions, or make any other recommendations, about whether you should purchase, sell, own or hold any security, futures contract or other derivative, or digital asset or digital asset derivative, or any class, category or sector of any of the foregoing, or whether you should make any allocation of your invested capital between or among any of the foregoing.

TradeStation Crypto accepts **only** cryptocurrency deposits, and **no** cash (fiat currency) deposits, for account funding. In order for you to purchase cryptocurrencies using cash, or sell your cryptocurrencies for cash, in a TradeStation Crypto account, you must also have qualified for, and opened, a TradeStation Equities account with TradeStation Securities so that your cryptocurrency purchases may be paid for with cash withdrawals from, and your cryptocurrency cash sale proceeds may be deposited in, your TradeStation Securities Equities account. Therefore, if you want to open a TradeStation Crypto account, you must also have an Equities account with TradeStation Securities. This cash in your TradeStation Securities Equities account may also, of course, be used for your equities and options trading with TradeStation Securities.

TradeStation and *YouCanTrade* account services, subscriptions and products are designed for speculative or active investors and traders, or those who are interested in becoming one. No offer or solicitation to buy or sell securities, securities derivative or futures products of any kind, cryptocurrencies or other digital assets, or any type of trading or investment advice, recommendation or strategy, is made, given or in any manner endorsed by any TradeStation Group company, and the information made available on or in any TradeStation Group company website or other publication or communication is not an offer or solicitation of any kind in any jurisdiction where such TradeStation Group company or affiliate is not authorized to do business. Past performance, whether actual or indicated by historical tests of strategies, is no guarantee of future performance or success. There is a possibility that you may sustain a loss equal to or greater than your entire investment regardless of which asset class you trade (equities, options, futures, futures options, or crypto); therefore, you should not invest or risk money that you cannot afford to lose. System access and trade placement and execution may be delayed or fail due to market volatility and volume, quote delays, system, platform and software errors or attacks, internet traffic, outages and other factors. The trademarks "TradeStation®," "YouCanTrade" and "SheCanTrade," as

well as other trademarks, domain names and other proprietary intellectual property of TradeStation Group companies, are owned by TradeStation Technologies. The proprietary TradeStation platform is offered by TradeStation Securities for Equities (including equity options) and Futures trading. TradeStation Crypto offers its online platform trading services, and TradeStation Securities offers futures options online platform trading services, through unaffiliated third-party platform applications and systems licensed to TradeStation Crypto and TradeStation Securities, respectively, which are permitted to be offered by those TradeStation companies for use by their customers.

Please also read carefully the agreements, disclosures, disclaimers and assumptions of risk presented to you separately by TradeStation Securities, TradeStation Crypto, TradeStation Technologies, and You Can Trade on the TradeStation Group company site and the separate sites, portals and account or subscription application or sign-up processes of each of these TradeStation Group companies. They contain important information, rights and obligations, as well as important disclaimers and limitations of liability, and assumptions of risk, by you that will apply when you do business with these companies.



Welcome.

How to Open Your TradeStation Account

Please complete this application in its entirety by typing your answers and information in the appropriate fields. You can save your progress at any point. Once completed, simply print and sign the application before sending it to us. You will need the latest version of the free Adobe Acrobat reader (<https://get.adobe.com/reader/>) to use the interactive features on this form. Alternatively, you can print then complete the entire form using blue or black ink. Please do not use white-out.

To ensure that your account application is complete and may be processed as soon as reasonably possible, ***please make sure you've completed all applicable documents and that you return this entire package, including the pages on which you are not required to sign or provide information.***

Visit our website to view the funding instructions. If you have any questions, please call us toll-free at **800.770.4049** or **954.652.7677**.

Please return your completed application and additional documentation to TradeStation via email or regular mail:

- 1) **Email: newaccounts@tradestation.com**
- 2) **Post to the following address:**
TradeStation New Accounts
8050 SW 10th Street, Suite 2000
Plantation, FL 33324, USA

REQUIRED FORMS

- Account Application and Agreements, Disclosures, Disclaimers and Assumption of Risks**

REQUIRED

Account Owner Information

Full Title of Account (Legal Name of Entity; If a sole proprietorship, enter individual's legal name d/b/a – Example: John Smith d/b/a XYZ Company, Inc.)			
Principal Business Address (no P.O. boxes)			
City	State/Province	Zip	Country
Your Existing TradeStation Securities Equities Account Number			

Please carefully review all of the content in this package, including all of the information below and in each of the required agreements and other documents listed below (which each can be opened and read from the links below and are all easily accessible at www.tradestation.com through the link "Important Documents and Information"). **PLEASE READ ALL AGREEMENTS AND DOCUMENTS CAREFULLY, including the ones listed below and on the website, which are legally binding on you to the same extent as if set forth fully in this package.** Once you have reviewed and agreed with each document, but not before, you should sign below where indicated. We strongly recommend that you print a copy of each agreement and document for your records, **as your acknowledgment and acceptance of these agreements and other documents are legally binding on you and create estoppel and affirmative defenses against you, and you agree that they do so no differently than if each one was individually signed by you in ink and delivered to TradeStation in person.**

You understand and agree that the respective customer account agreements which will apply to, and govern, your account relationships with TradeStation companies are as follows: (1) for an **Equities** account (including for equity/index options trading), solely the **TradeStation Securities, Inc. Customer Account Agreement for Equities**, together with the **Master Securities Lending Agreement**, (2) for a **Futures** and/or **Futures Options** account, solely the **TradeStation Securities, Inc. Account Agreement for Futures**, and (3) for a **Crypto** account, solely the **TradeStation Crypto, Inc. Customer Account Agreement**. Also, there are supplemental agreements, disclosures and other documents which will apply to one or more your accounts (as set forth below) which you must agree to, accept and acknowledge. Depending on which accounts you are applying for, the following apply and govern:

TradeStation Securities, Inc. Accounts – Equities & Options (Agreements, Disclosures, Disclaimers and Assumption of Risk):

- [TradeStation Securities, Inc. Customer Account Agreement for Equities](#)
- [Master Securities Lending Agreement](#)
- [TradeStation Technologies, Inc. Subscription Agreement](#)
- [User Agreement \(Websites, Electronic Services, Social Media and Education\)](#)
- [Investment and Trading Disclosures Booklet – Equities & Options](#)

TradeStation Securities, Inc. Accounts – Futures & Futures Options (Agreements, Disclosures, Disclaimers and Assumption of Risk):

- [TradeStation Securities, Inc. Customer Account Agreement for Futures](#)
- [TradeStation Technologies, Inc. Subscription Agreement](#)
- [User Agreement \(Websites, Electronic Services, Social Media and Education\)](#)
- [Investment and Trading Disclosures Booklet – Futures & Options on Futures](#)
- [Firm Specific Disclosure Statement - CFTC Rule 1.55](#)

TradeStation Crypto, Inc. Accounts (Agreements, Disclosures, Disclaimers and Assumption of Risk)

- [TradeStation Crypto, Inc. Customer Account Agreement](#)
- [User Agreement \(Websites, Electronic Services, Social Media and Education\)](#)
- [Investment and Trading Disclosures Booklet – Cryptocurrencies](#)
- [U.S. States Where TradeStation Crypto is Licensed or Permitted to do Business and Related Notices and Information](#)

Residents of United Kingdom Only

- [Terms of Business of TradeStation International Ltd](#)

REQUIRED

The TradeStation Technologies, Inc. Subscription Agreement is a separate license agreement governing your use of TradeStation and third-party software and market data/content for Equities and Futures accounts, and the User Agreement (Websites, Electronic Services, Social Media and Education) applies to your use of those products and services offered by any of the TradeStation companies. We also direct you to review our Privacy Policy on the TradeStation website.

Please be aware that you acknowledge and agree that even if you are not applying to open a particular kind of account in this application, but already have such type of account (for example, you already have a Futures or Equities account, and are not applying for one in this application), the applicable agreements and documents listed above which pertain to those accounts will now apply to all of your existing accounts (for example, all of the agreements and documents listed above for Equities accounts, in their current form, now apply to all of your existing Equities accounts, and all of the agreements and documents above listed for Futures accounts now apply, in their current form, to all of your existing Futures accounts). You agree, intending to be legally bound, that any future action you take in any of such existing accounts constitutes irrevocable and unconditional acceptance by you of the current form of each of such agreements and documents (as same may later be amended or modified).

This account application, together with all of the applicable agreements, acknowledgments, representations, warranties, notices, disclaimers and assumptions of risk contained in this application and any of such documents, including those listed above on this page (collectively, the "Agreement"), may from time to time be modified or amended, in whole or in part, by any reasonable method of notice to you, including posting on a TradeStation website, platform application you use, or other electronic communication, and you agree that any transaction or activity you initiate in any of your accounts after such modification or amendment constitutes your unconditional acceptance of any such modification or amendment.

You represent and affirm that (1) you understand you will be categorized, billed and required to pay as a "professional" subscriber for market data fees, (2) you have the financial ability to withstand a total loss of all funds and other assets you deposit or maintain in any of your accounts, (3) all funds or assets deposited or later deposited in any of your accounts are and will be your own funds or assets, and have not been and will not be solicited or sourced from any third party (unless you have clearly disclosed in this application that you manage investments of others), and any withdrawals you make from any of your accounts will be transferred solely to an account in your name, under your control, and which only you own, and (4) no third party has or will have any direct or indirect ownership or other beneficial or financial interest in those funds or assets, or any asset or other property purchased with or through the use of such funds or assets, or in any of your accounts, in any manner (except only as you have clearly disclosed in this application).

AGREEMENT TO ARBITRATION OF DISPUTES RELATING TO (1) EQUITIES ACCOUNTS AND (2) CRYPTO ACCOUNTS:

(1) If you are applying for an **Equities (Equities & Options)** account, you acknowledge and affirm that you have read and agree to the **pre-dispute arbitration provisions** set forth in [section 38 of the TradeStation Securities, Inc. Customer Account Agreement for Equities](#), and (2) if you are applying for a **Crypto** account, you acknowledge and affirm that you have read and agree to the **pre-dispute arbitration provisions** set forth in [section 42 of the TradeStation Crypto, Inc. Customer Account Agreement](#).

U.S. citizens and U.S. residents only — Tax Withholding Certification. Under penalty of perjury, you certify that: (1) the number provided by you in this account application is your correct taxpayer identification number; (2) you are not subject to backup withholding because (a) you are exempt from backup withholding, or (b) you have not been notified by the Internal Revenue Service (IRS) that you are subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified you that you are no longer subject to backup withholding; (3) you are a U.S. person (which includes being a U.S. resident alien); and (4) the FATCA code(s) entered in this account application (if any) indicating that you are exempt from FATCA reporting is correct. You understand that if you are not a U.S. person, or have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return, you may not sign below and you must contact us to complete your application so that we can apply any required backup withholding. **Note: The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding. If this is a joint account, each joint account owner is separately making the certification.**



Note: If you are not a U.S. person, you will likely be required to complete and submit applicable Forms W-8, if one has not already been provided. You further represent and confirm that no TradeStation company solicited you to apply for, open or establish any kind of account with any TradeStation company.

You confirm and affirm that all information, representations, warranties, affirmations, acknowledgements and agreements that you have provided or made in this application are voluntarily and knowingly given and made, are true, complete and accurate, and not misleading in any respect. **Further, you represent and warrant that all entity, associated person and**

REQUIRED

other information provided by you or your representatives, principals, officers, partners, members or associated persons when you applied and were approved for your Equities account with TradeStation Securities, including all certificates and supporting documentation remains complete, true and accurate in all material respects, and you will promptly notify us in writing if any of the information or any representation or certification you have provided materially changes or ceases to be true, complete and accurate.

By signing below, you hereby execute and deliver the Agreement, intending to be legally bound by it.

Authorized Signature		Date
Print Authorized Signor's Name and Title (If sole proprietorship, simply state "owner.")		
Authorized Signature		Date
Print Authorized Signor's Name and Title		